

032404

16569 U.S. PTO

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DOCKET NUMBER	ANTICIPATED CLASSIFICATION OF THIS APPLICATION:		PRIOR APPLICATION	
G&C 30571.272-US-C1	CLASS	SUBCLASS	EXAMINER	ART UNIT
STL919990184US3	707		Cindy Nguyen	2171

## CERTIFICATE OF MAILING UNDER 37 CFR 1.10

'Express Mail' mailing label number: EV329954673US

Date of Deposit: March 24, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 and is addressed to the Commissioner for Patents, Alexandria, VA 22313-1450.

By: *Adriane Baird*  
Name: Adriane Baird

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## CONTINUATION APPLICATION UNDER 37 C.F.R. § 1.53(b)

## MAIL STOP PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

This is a request for filing a Continuation application under 37 CFR § 1.53(b) of Serial No. 09/669,556, filed on September 26, 2000 entitled "QUERY OPTIMIZATION TECHNIQUE FOR OBTAINING IMPROVED CARDINALITY ESTIMATES USING STATISTICS ON AUTOMATIC SUMMARY TABLES" by the following inventor(s):

(1)	<b>Full Name Of Inventor</b>	<b>Family Name</b> SIMMEN	<b>First Given Name</b> DAVID	<b>Second Given Name</b> E.
	<b>Residence &amp; Citizenship</b>	<b>City</b> San Jose	<b>State or Foreign Country</b> California	<b>Country of Citizenship</b> United States of America
	<b>Post Office Address</b>	<b>Post Office Address</b> 1040 Mt. Carmel Drive	<b>City</b> San Jose	<b>State &amp; Zip Code/Country</b> California 95120 / US

- ☒ New specification: 32 pages of specification, 33 claims, 1 Abstract page. (It is noted that the new specification does not contain any subject matter that would have been new matter in the prior application.)
- ☒ Five (5) sheets of formal drawings.
- ☒ Copy of Signed Declaration as filed in the prior application.
- ☒ Information Disclosure Statement, Form 1449 and copies of references (when required)
- ☒ A charge to the Deposit Account identified below in the amount of \$1,004.00 to cover the Filing Fee.
- ☒ Copy of Revocation and Power of Attorney in the prior application to:

George H. Gates, Reg. No. 33,500; Victor G. Cooper, Reg. No. 39,641; Karen S. Canady, Reg. No. 39,927; William J. Wood, Reg. No. 42,236; and Jason S. Feldmar, Reg. No. 39,187, all of whom are attorneys with Gates & Cooper LLP.

☒ Address all future communications to the Attention of George H. Gates at Gates & Cooper LLP, Howard Hughes Center, 6701 Center Drive West, Suite 1050, Los Angeles, California 90045, Telephone: (310) 641-8797.

☒ A return postcard is enclosed.

☒ The fee has been calculated as shown below in the "Claims as Filed" table.

**CLAIMS AS FILED**

Number of Claims Filed	In Excess of:	Number Extra	Rate	Fee
Basic Filing Fee				\$770.00
Total Claims				
33	20	13	x \$18.00 =	\$234.00
Independent Claims				
3	3	0	x \$86.00 =	\$0.00
MULTIPLE DEPENDENT CLAIM FEE				\$0.00
TOTAL FILING FEE				\$1,004.00

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 09-0460 of IBM Corporation, the assignee of the present application. A duplicate of this paper is enclosed.

**Customer Number 22462**  
**GATES & COOPER LLP**  
Howard Hughes Center  
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Los Angeles, CA 90045  
(310) 641-8797

By: GHG  
Name: George H. Gates  
Reg. No.: 33,500  
GHG/amb